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**UNCERTAINTIES OF TREELINE ALTERATIONS DUE TO CLIMATIC CHANGE
DURING THE PAST CENTURY IN THE CENTRAL NORWEGIAN SCANDES**

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29 **ABSTRACT**

30 Treelines are widely used as an indicator for the observation of nature response to climatic
31 change. One major difficulty in analysing treeline responses to climatic change is the global
32 influence of non-climatic ecological variables on the ecosystems first and foremost land use.
33 In this study we aimed to uncouple non-climatic and climatic ecological variables and to as-
34 sess their influence on the treeline ecosystem. An integrative approach was used to analyse
35 treeline alterations throughout the past century in the central Norwegian Scandes. Bitemporal
36 aerial photo interpretation, dendrochronology, and analyses of land use and climatic change
37 impacts were applied to enable correlation and trend statistics. Our results showed that the
38 treeline ecotone had changed as characterised by reestablishment of forest fragments in
39 formerly used pastures and slight upward-shifts of solitary trees. Land use decreased but we
40 found an additional positive mean annual trend of air temperatures. Uncoupling this ecologi-
41 cal variables revealed a differentiated picture: The temperature increase was restricted to the
42 winter month only; but, we found neither summer temperatures nor lengths of the growing
43 period to be changed significantly over the past decades. Direct causal response to climatic
44 change could be neglected by our findings. Contrasting literature, our findings reveal that
45 seasonal climate patterns did not trigger treeline alterations. Uncoupling environmental trig-
46 gers is essential for understanding both current treeline alterations and future distribution
47 patterns. As a consequence, models predicting future treeline distributions by assuming a
48 direct climate–treeline response must fail on a regional scale.

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50

51 **Keywords:** Global warming, climate-growth relations, *Betula pubescens* ssp. *czerepanovii*,
52 land use changes, forest regeneration, uncoupling environmental triggers, mountain ecosys-
53 tems.

54 INTRODUCTION

55 Since a drastic global warming was primary predicted, much attention was set on the discov-
56 ery of nature responses to climatic change (MCCARTHY et al. 2001, WALTHER et al. 2002,
57 PARMESAN & YOHE 2003). Due to climatic limitation of tree growth in arctic-alpine envi-
58 ronments, treeline alterations especially in the boreal and temperate climatic zones are re-
59 garded as distinctive regional response indicators of climatic change (PAYETTE & LAVOIE
60 1994; KULLMAN 1998). A small temperature depression in the 60th and 70th climate warmed
61 significantly and regionally differentiated in all parts of the world (HOUGHTON et al. 2001).
62 Up to now, some studies proved the treeline to react on this recent change (KULLMAN 2001;
63 MOISEEV & SHIYATOV 2003). In Norway, an increase of mean temperatures by 0.7 K (in
64 2020) and 1.1 K (2050) respectively, accompanied by stable precipitation was forecasted
65 (HOUGHTON et al. 2001), causing a high potential for a drastic upward shift of the treeline.

66
67 One major difficulty in analysing nature responses to climatic change is the global and heavy
68 influence of non-climatic ecological variables on global ecosystems. Besides the influence of
69 climate, a variety of non-climatic ecological variables are considered to influence to treeline
70 distribution as well (OKSANEN et al. 1995; HOFGAARD 1997a; 1997b; KÖRNER 2003;
71 HOLTMEIER 2003). HOFGAARD (1999) i.e. emphasised the long-term and strong influence
72 of human activity on the alpine and subalpine altitudinal belts in the Norwegian Scandes re-
73 gionally or temporally overriding responses to climatic change (HOFGAARD 1997). Anthro-
74 pogenic delimited treelines showed greatest altitudinal shifts after cessation of land use im-
75 pact in general (HOLTMEIER & BROLL 2005) and this recovery is sometimes misinterpreted
76 as an effect of climatic change.

77
78 Investigations dealing with responses of the treeline to climatic change must cope with the
79 complexity of treeline ecosystems (HOLTMEIER 2003; LÖFFLER et al. 2004; DALEN &
80 HOFGAARD 2004), demanding complex and integrative approaches (RÖSSLER et al. under
81 review). Therefore, our study aims at analysing treeline alterations during the past century in
82 eastern Norway. We tried to uncouple the effects of land use and climatic change. Finally,
83 uncertainties of treeline responses to climatic change were assessed in order to improve
84 predictions of treeline distribution in the future.

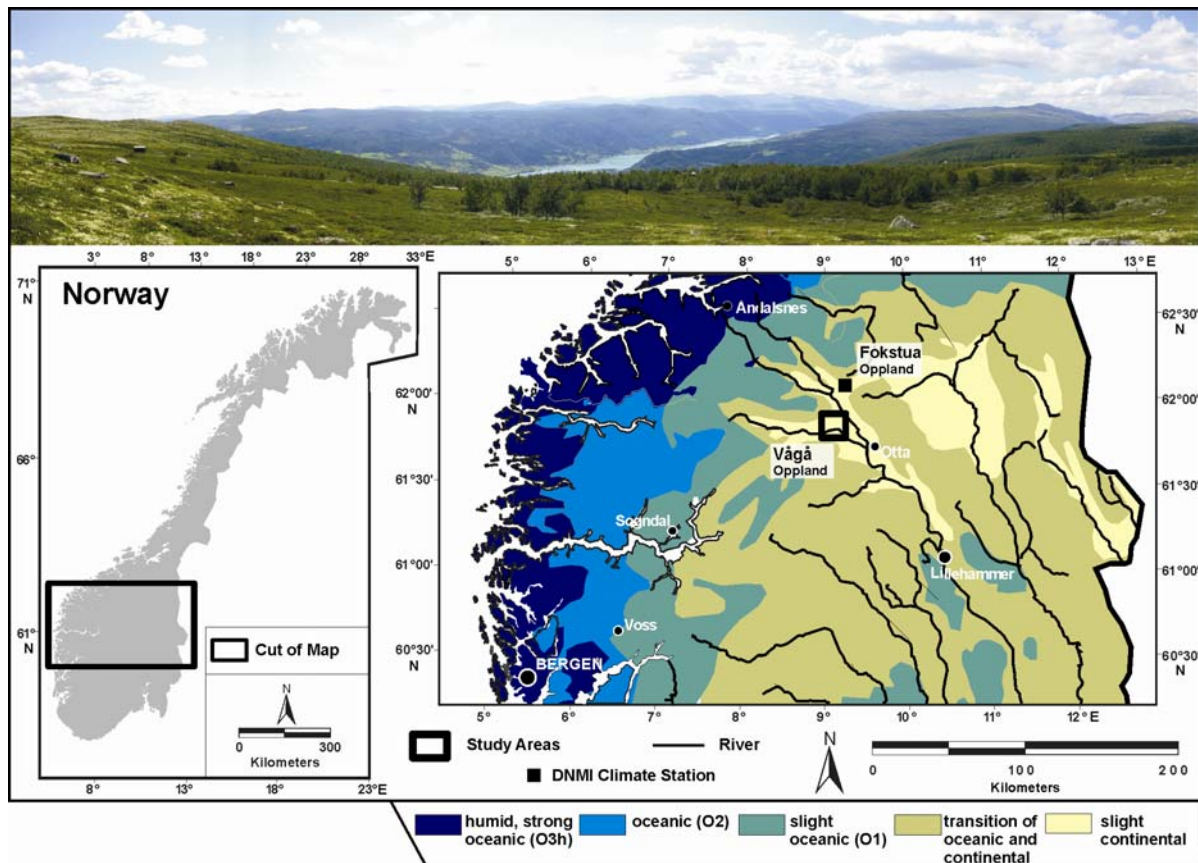
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87 **STUDY AREA**

88 The study area *Vågå* (61°53' N, 9°15' E, Figure 1) is situated east of the central Norwegian
 89 mountain chain and characterised by the most continental climate found in Scandinavia with
 90 lowest annual precipitation sums of ~ 300 mm/a in the valleys (KLEMSDAL 1980). Petrogra-
 91 phy of the *Vågå* region is characterized by glacially shaped phyllitic parent rocks of moderate
 92 weathering capacity but silicate-acid chemistry (STRAND 1951). *Betula pubescens* ssp.
 93 *czerepanovii* (hereafter referred to as *Betula pubescens*) forms the subalpine belt as well as
 94 the current treeline (Figure 1, picture) at app. 1,050 m a.s.l. A patchy treeline ecotone (Figure
 95 1, picture) transfers into low alpine vegetation dominated by dwarf shrubs (DAHL 1986). The
 96 *Betula pubescens* species line was found at 1,400 m a.s.l. The region is characterized by the
 97 lowest mean annual precipitation (300–500 mm) found in Norway (KLEIVEN 1959). Figure 1
 98 illustrates the location of the study area in Norway and the position of the meteorological sta-
 99 tion *Fokstua* (DNMI).

100



101

102 **Figure 1** Location of the study area *Vågå* and the meteorological station *Fokstua* (DNMI) and their
 103 allocation to the different climatic regions in central Norway (map modified after MOEN 1999).

104 METHODS

105 To delineate treeline alterations throughout the past century we used aerial photo interpreta-
106 tion. More detailed and temporally explicit data concerning tree growth conditions at the
107 treeline were sampled and tree ring widths were measured. We assumed that better growth
108 conditions and therefore wider tree rings indicate a higher potential for the treeline to rise
109 with altitude. Since land use and climatic change are documented to have strongest effects
110 on treeline alterations (HOLTMEIER & BROLL 2005) we analysed both, ecological variables
111 according to their temporal change, and to their impact on the treeline. Finally, land use and
112 climate variables were correlated with tree ring data and treeline alteration causally and sta-
113 tistically. This strategy yield uncoupled values of influence for each ecological variable.

114
115 Treeline alterations were detected using bitemporal aerial photo interpretation (LÖFFLER et
116 al. 2004; RÖSSLER et al. under review). We used earliest and latest aerial photos available
117 (1964 and 1992). The aerial photos were orthorectified using a digital elevation model with a
118 resolution of 25 m. Forest fragments, solitary trees and woodless areas were categorised
119 besides structural features like rivers and roads. A threshold of 100 m² was used to distin-
120 guish solitary trees and forest fragments.

121
122 To obtain data of land use intensity in the study area, we accomplished interviews and in-
123 quires. Inquiries acquired information about form and intensity of past and present land use.
124 Official statistics provided quantitative data about past and present numbers of grazing ani-
125 mals and numbers of mountain summer farms. Additionally, local farmers and landowners
126 were interrogated about land use changes using a qualitative, informal and semi-structured
127 approach (LUNDBERG 2002).

128
129 The Norwegian Meteorological Institute (DNMI) provided long-term data of monthly mean
130 temperatures and precipitation from the climate station *Fokstua* since 1925 (DNMI 1925–
131 2003). Moreover, daily mean temperatures were obtained within the time period of 1957–
132 2002. *Fokstua* is located at 972 m a.s.l. app. 50 km northwest of the study area *Vågå* (figure
133 1). We tested the transferability of the climate data to the *Vågå* area and found significant
134 correlations ($r = 0.90\text{--}0.98$) (BÄR et al. under review). Due to the operation time of the used
135 station (earliest data from 1925) and sampling date of tree rings (2003), we analysed the
136 maximal time frame possible for analyses (1925–2002).

137
138 We analysed both annual and monthly mean temperatures as well as precipitation sums.
139 Furthermore, we calculated the length and the mean temperature of the growing period as
140 defined by KÖRNER & PAULSEN (2004): Start and end point of the vegetation season are
141 termed by 3.2° C soil temperatures equivalent to a weekly mean air temperature of 0° C. For
142 this purpose daily mean temperatures are needed but limited by availability. In the present

143 study, daily mean temperatures were obtained from the meteorological station *Fokstua* since
144 1956.

145

146 Firstly, we accomplished a linear fit to the mean annual temperature and annual precipitation
147 sum of the analysed time period. Secondly, monthly data of temperatures and precipitation
148 were tested as to intraannual trends. The magnitude of the trends was calculated using
149 SEN's slope equation (SEN 1968) and the non-parametrical MANN-KENDALL tests was
150 applied to estimate the significance (MANN 1945; KENDALL 1970). Calculations of precipita-
151 tion and temperature trends were based on monthly means using MAKESENS (SALMI et al.
152 2002). Thirdly, to analyse climate-growth relations we calculated bivariate correlations be-
153 tween tree ring width (see below) and meteorological ecological variables that are known to
154 be major controllers of the *Betula pubescens* treeline and thus were assumed to have the
155 strongest climatic effect on tree growth:

156

157 (1) AAS (1964) found a strong correlation between treeline position and warmest months of a
158 year. Hence, we correlated ring width with monotherm (warmest month) and bitherm (mean
159 of two warmest months) as well as tritherm (mean of three warmest month) and tetratherm
160 (mean of four warmest months).

161

162 (2) We tested every monthly mean temperature and seasonal temperatures to influence tree
163 ring growth (spring: AMJ; summer: JJA; autumn: ASO) as well as last year autumn (SON)
164 and winter temperature (DJF) and the length and mean temperature of the growing period.

165

166 (3) To accommodate with the influence of snow as well as drought on the treeline we calcu-
167 lated the correlation for precipitation sums of each month and season (winter, spring, sum-
168 mer, autumn). PEARSON correlations were accomplished using SPSS 12 (SPSS 2003).

169

170 Finally, we tested all climatic ecological variables that had significant influence on tree growth
171 as to their internal trend using SEN's slope equation and the MANN-KENDALL-test as de-
172 scribed above.

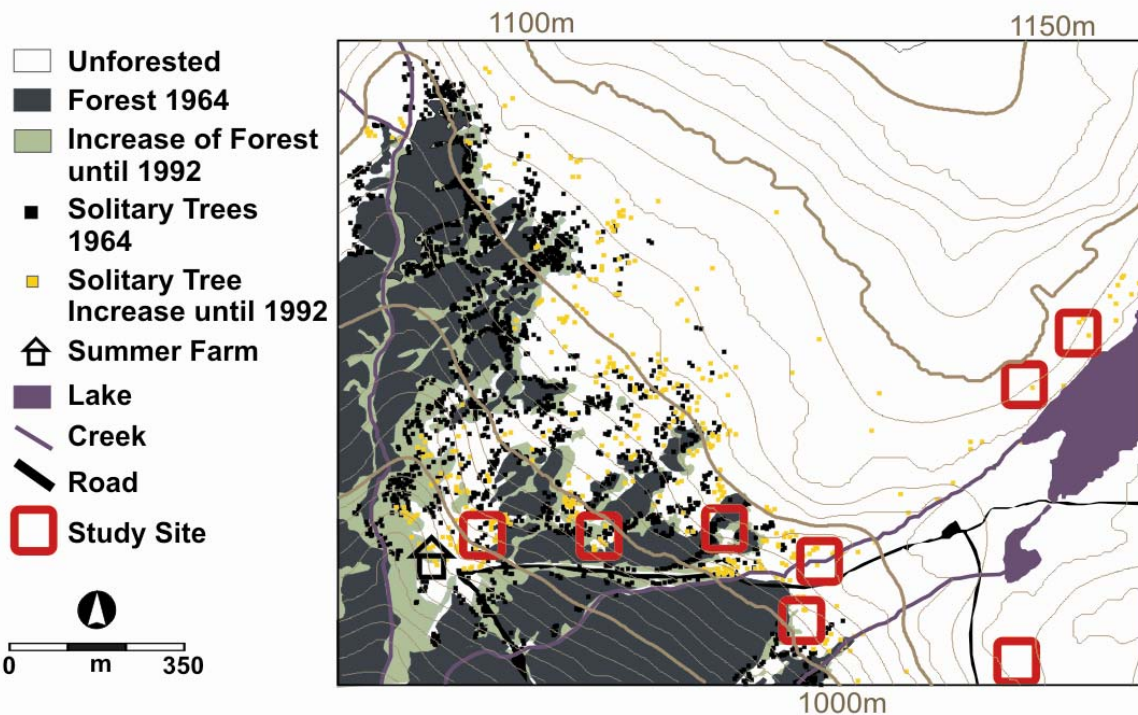
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174 Within the treeline ecotone (950 and 1,050 m a.s.l.) several trees were cored twice, parallel
175 and perpendicular to the slope. Tree ring-widths were measured using TSAPWIN (RIN-
176 NTECH 2006) and mean curves of 14 tree cores were synchronized and served as the basis
177 for a local *Betula pubescens* site chronology. Finally, the site chronology was age-detrended
178 using a 32-year moving spline (BÄR et al. 2006; 2007). This standardized chronology was
179 correlated with climate ecological variables as described above.

180 **RESULTS**181 **Regional treeline alterations**

182 The comparison of both classified aerial photos show a slight increase, mainly within the
 183 treeline ecotone as successional stages of former pastures (Figure 2) and along infrastruc-
 184 tures. Moreover, solitary trees within the treeline ecotone accreted and form closed forest
 185 fragments at present. Above the former treeline few solitary trees established up to app.
 186 1,100 m a.s.l.

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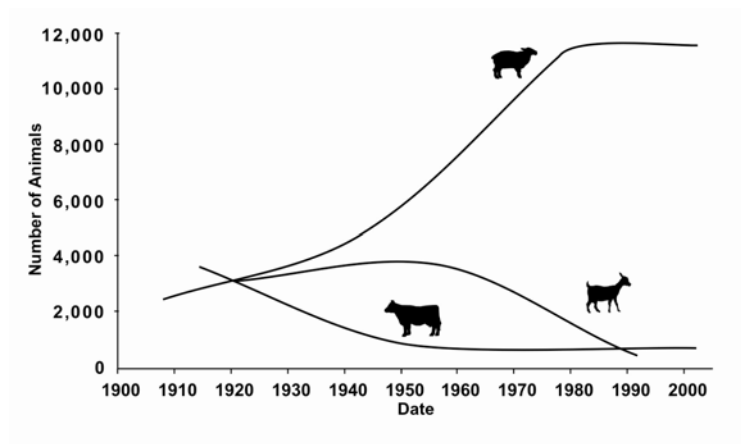
189 **Figure 2** Patterns of treeline alterations between 1964 and 1992 are graphed revealing an reforesta-
 190 tion of formerly open areas and a slight upward shift of solitary trees.

191

192 **Land use changes**

193 Interviews and statistical data analyses revealed changes of land use in the study area dur-
 194 ing the past century. The development of livestock in the Vågå commune is shown in Figure
 195 3. In conjunction with the general trend of a decreased summer farm use (REINTON 1955;
 196 OLSSON et al. 2000) the number of cattle and goats diminished. In contrast, extensive pas-
 197 ture of sheep as part-time farming became more common, resulting in the high number of
 198 sheep. Recently, within the study area the grazing density is app. 21–25 animals per km²,
 199 predominantly sheep (app. 1,400) (BEITEBRUKSPLAN 2001).

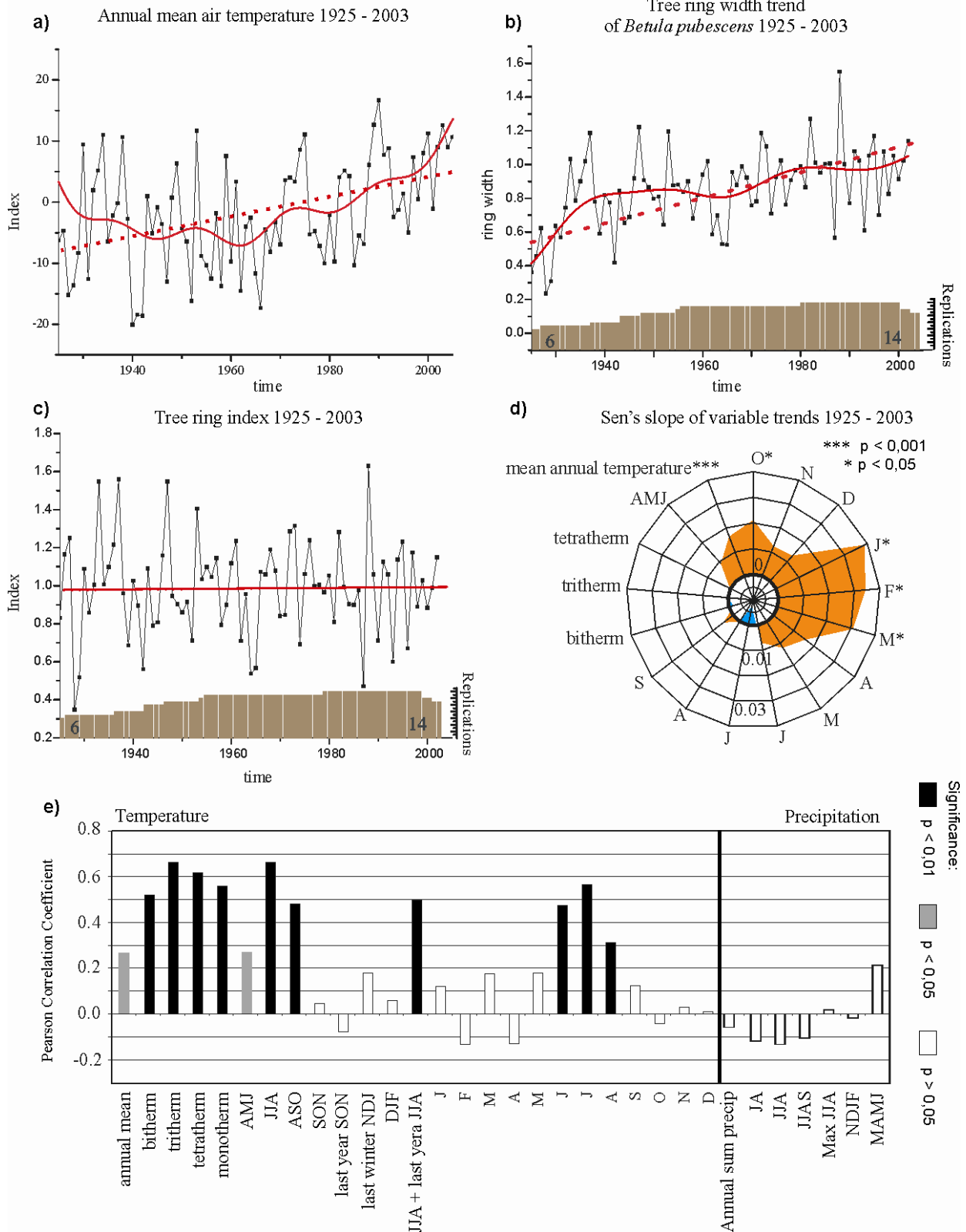
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202 **Figure 3** Land use change within the last century indicated by a decrease of goat and cattle stock and
203 an increase of pasturing sheep.

204 Climate-growth response



205

206 **Figure 4** Climate-growth correlations of *Betula pubescens* at the current treeline ecotone: a) Time
 207 series of temperatures graphed with FFT-smoothing (9-years, red curve) and overall linear trend
 208 (dashed red line). Correlations with *Betula pubescens* tree ring width chronology; b) raw data (FFT-
 209 smoothing: red curve; linear fit (dashed red line), and c) age-detrended data (FFT-smoothing: red
 210 curve; linear fit (dashed red line); d) SEN's slope trends of best correlating variables between 1925–
 211 2005; e) PEARSON correlation coefficients of tested climatic ecological variables and level of signifi-
 212 cance (black: $p < 0.01$; grey: $p < 0.05$; white: $p > 0.05$).

213 The key findings of our climate-growth analyses are summarised in Figure 4 consisting of the
 214 temperature dynamic chart since 1925 plotted with a linear fitted trend (Figure 4a), the raw
 215 tree ring chronology with numbers of replicants (Figure 4b), an age-detrended, standardized
 216 tree ring chronology (Figure 4c) with number of replicants that was correlated with several
 217 climatic ecological variables (Figure 4e), as well as a amoeba diagram of most important
 218 parameter trends (Figure 4d). The linear fit shows a slightly positive trend for both annual
 219 mean temperature ($+0.016^{\circ}\text{C}\cdot\text{y}^{-1}$) and tree ring width ($+0.008\text{mm}\cdot\text{y}^{-1}$, Figure 4a, 4b). Bivariate cor-
 220 relation of mean annual temperature with standardized tree ring data (Figure 4c) was signifi-
 221 cant by means of PEARSON correlation coefficients ($r^2 = 0.264$, $p = 0.05$). Further correla-
 222 tions of the tree ring data with climatic ecological variables are presented in the bar chart in
 223 Figure 4e: summer temperatures strongly affect tree ring increment, especially the bitherm
 224 and the JJA mean. In contrast, we found only slight effects of spring temperatures and nei-
 225 ther any effect of winter and autumn temperatures nor of all precipitation parameter tested.
 226 These results are in accordance with literature, but since tree ring data show a positive trend
 227 (Figure 4b) we also expected a trend in the determining ecological variables. As illustrated by
 228 Figure 4d, we found no trend in the most influencing parameter like bitherm and JJA. More-
 229 over, the temperature trend of July is slightly decreasing ($-0.008^{\circ}\text{C}\cdot\text{y}^{-1}$). In contrast, winter
 230 and autumn temperatures possess a strong, significant positive trend, i.e. January $+0.039$
 231 $^{\circ}\text{C}\cdot\text{y}^{-1}$, but no significant correlation to tree ring increments. So, annual mean temperature is
 232 likely to improve tree growth, but we found no physio-ecological explanation for this correla-
 233 tion.

234

235 Since there were slightly positive temperature trends in May and September we tested the
 236 tree ring increment as a function of the length and the mean temperature of the growing pe-
 237 riod. Correlations were significant ($p < 0.05$) between the Vågå tree ring chronology and both
 238 growing period variables. But again, we found no significant positive linear trend of the vari-
 239 ables. Table 1 summarises the correlation coefficient and the trend analyses.

240

241 **Table 1** Results of trend analysis of length and mean temperature of the growing period in 1957–2002
 242 as well as the correlation with the standardized *Betula pubescens* chronology.

	Analysed trend	Level of significance	Correlation coefficient to tree ring chronology
Length of growing period	0.000 d/y	0.05	-0.371
Mean temperature of growing period	0.001 d/y	0.01	0.688

243

244 DISCUSSION

245 Our results show that treeline alterations are characterised by a reestablishment of forest
246 fragments in formerly used pastures and by a slight upward-shift of solitary trees. Regarding
247 the potential treeline position as calculated by AAS & FAARLUND (2000) (~ 1,150m a.s.l.)
248 even the slight upward trend of few solitary trees have to be interpreted as a recovery of for-
249 merly forested areas. This development is in line with results from several other regions in
250 Norway (AAS & FAARLUND 1995; 1996; HOFGAARD 1997; 1999; OLSSON et al. 2000).
251 During the 1960th Norway has been facing a strong transformational process characterised
252 by land use change from subsistence farming including summer farms towards a high inten-
253 sity, modern farming concentrating in the valleys (STATISTIKK SENTRALBYRÅ 2007). Both
254 processes led to a decrease of land use intensity in marginal areas like alpine mountain ar-
255 eas that is likely to trigger an upward-shift of treelines (AAS & FAARLUND 1996).

256
257 Parallel to the observed treeline reestablishment annual mean temperatures increased,
258 questioning the influence of climate. Seasonal temperature trends showed that the increase
259 of mean annual temperatures was restricted to the winter months only. Our climatic analyses
260 are in line with analyses done by FØRLAND et al. (2000) for entire Norway since 1879, who
261 found also a positive annual trend, but decreasing and insignificant changes of summer tem-
262 peratures and a strong positive winter temperature trend. Regarding the physio-ecology of
263 deciduous *Betula pubescens* trees we found no significant correlation of ring widths to winter
264 month temperatures. Nor did we find any correlation to winter precipitation as reported by
265 VAGANOV et al. (1999) for subarctic Eurasian conifers.

266
267 Commonly known, *Betula pubescens* is mainly influenced by summer air temperatures and
268 means of growing period temperatures at the alpine treeline (i.e. AAS 1964; TUHKANEN
269 1980; ODLAND 1996). For pine treelines in the Swedish Scandes KULLMAN (2007) con-
270 cluded that seasonal temperature patterns have to be considered to explain treeline altera-
271 tions. Our study strongly supports these investigations. But, we found neither summer tem-
272 peratures nor growing periods to change significantly over the past decades being responsi-
273 ble for tree ring-widths increase and upward shifts of the treeline. Thus, we question how the
274 observed positive trend in tree ring data and the slight upward-shift of the studied treeline
275 might be explained. Direct causal response to climatic change could be neglected by our
276 findings. Moreover, in our study area land use change and site history superposed the im-
277 pact of climate. Both of our findings are in general accordance with current literature (i.e.
278 DALEN & HOFGAARD 2005). Contrasting literature, our findings revealed that seasonal cli-
279 mate patterns do not trigger current treeline alterations in central Norway.

280
281 To conclude, uncoupling environmental triggers is essential for understanding both current
282 treeline alterations and future distribution patterns. The impact of climatic change on treeline

283 alterations might be obvious regarding mean annual temperature trends. Seasonal climate
284 patterns must be considered but cannot explain current treeline alterations. As a conse-
285 quence, models predicting future treeline distributions (i.e. MOEN et al. 2004) by assuming a
286 direct climate–treeline response must fail on a regional scale.

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295

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